

Wealth Management – Question Bank

Unit 1

1. What is the meaning, scope and components of wealth management
2. Explain the process of wealth management
3. What is the need for wealth management
4. Elaborate the challenges of wealth management in india
5. What are the code of conduct to be followed by financial planners
6. Elaborate on some initiatives taken for financial literacy
7. What is the importance of a financial plan
8. What are steps of personal financial planning
9. What is interest rate, types of interest rate and what is the effect of the same on financial plan

Unit 2

1. Mention the principles of insurance
2. What are the functions and features of insurance
3. Elaborate the rights and responsibilities of insured person
4. What are the different types of insurance policies
5. What are the types and advantages of health insurance
6. What are the steps in investment planning
7. What are the types of investment risk
8. What is risk profiling and what are the factors affecting the risk profile on any investor
9. What is asset allocation and various steps in determining a unique asset allocation model

Unit 3

1. Sums on time value of money and financial ratios
2. How to assess the residential status of an investor
3. What is long term and short term capital gain and how are they set off
4. What is a will and what are its features
5. What are the types and essentials of a valid will
6. Taxation for trusts

Unit 4

1. What is the need for retirement planning
2. What are principles of wealth creation
3. What are the factors affecting wealth creation
4. What is annuity and what are the types
5. What should one do before retiring
6. What are the various tax saving avenues for Indians
7. What are the various pension schemes available in India
8. How should tax planning be done for salaried employees

